

RAJSHREE SUGARS & CHEMICALS LIMITED – SHAREHOLDING PATTERN AS ON 30.9.2019

1. Name of Listed Entity: Rajshree Sugars & Chemicals Limited
2. Scrip Code/Name of Scrip/: RAJSREESUG (NSE) ; 500354 (BSE)
3. Class of Security: Equity
4. ISIN: INE562B01019
5. Share Holding Pattern Filed under: 31b
6. Share Holding Pattern as on : 30-Sep-2019
7. **Declaration:**

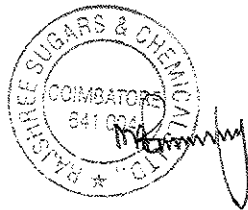
S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential Voting Rights?	No	
7	Whether the Listed Entity has issued any Warrants ?	No	
8	Whether the listed entity has any significant beneficial owner?	Yes	



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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shares (III)	No. of fully paid up shares held (IV)	No. of Partly paid-up equity Shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. (calculated per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming of securities (percentage diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg: X	Class eg: y	Total								
A	Promoter & Promoter Group	4	13473621	0	0	13473621	47.83	13473621	0	13473621	47.83	0	0.00	13473621	100.00	13473621		
B	Public	17942	14694059	0	0	14694059	52.17	14694059	0	14694059	52.17	0	0.00			12583384		
C	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
C1	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
C2	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00			0		
	Total	17946	28167680	0	0	28167680	100.00	28167680	0	28167680	100.00	0	0.00	13473621	47.83	26057005		



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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. shar underly Depositi Recei (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Sharehold g, as a assumin full conversi of convertib securitie as a percenta ge of share capital) (XI) = (VII)+(X) % of A+B+C	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbere (XIII)		Number of equity shares held in dematerializ ed form (XIV)		
									No of Voting Rights					Tota l as a % of Tota l Voti ng righ ts	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total share s held (b)		
									Class X	Clas s Y	Tot al									
1	Indian						0	0.00			0	0.00	0	0.00	0	0.00	0	0.00		
	Individuals/Hindu undivided Family		2	706977	0	0	706977	2.51	706977	0	706977	2.51	0	0	0	0	706977	100	706977	
	Aishwarya Pathy	AFPPA1662N	1	218674	0	0	218674	0.78	218674	0	218674	0.78	0	0	0	0	218674	100	218674	
	Aditya Krishna Pathy	AMTPK6519R	1	488303	0	0	488303	1.73	488303	0	488303	1.73	0	0	0	0	488303	100	488303	
b	Central Government/ State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Financial Institutions/ Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Any Other (specify)		1	1449331	0	0	1449331	5.15	1449331	0	1449331	5.15	0	0	0	0	1449331	100	1449331	
	Bodies Corporate		1	1449331	0	0	1449331	5.15	1449331	0	1449331	5.15	0	0	0	0	1449331	100	1449331	
	RSCL PROPERTIES PVT LTD	AABCR0300C	1	1449331	0	0	1449331	5.15	1449331	0	1449331	5.15	0	0	0	0	1449331	100	1449331	
	Sub-Total (A)(1)		3	2156308	0	0	2156308	7.66	2156308	0	2156308	7.66	0	0	0	0	2156308	100	2156308	
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
a	Individuals (Non-Resident Individuals/ Foreign Individuals)		1	11317313	0	0	11317313	40.18	11317313	0	11317313	40.18	0	0	0	0	11317313	100	11317313	
	Rajshree Pathy	AFOPP2845K	1	11317313	0	0	11317313	40.18	11317313	0	11317313	40.18	0	0	0	0	11317313	100	11317313	
b	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
c	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
d	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
e	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (A)(2)		1	11317313	0	0	11317313	40.18	11317313	0	11317313	40.18	0	0	0	0	11317313	100	11317313	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	13473621	0	0	13473621	47.84	13473621	0	13473621	47.84	0	0	0	0	13473621	100	13473621	

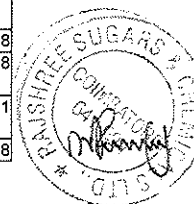
NOTE 1: Pledge has been created in respect of 1,34,73,621 equity shares with voting rights of Promoter & Group in favour of SBICAP Trustee Company Ltd, who is holding the pledge on behalf of CDR lenders (State Bank of India, UCO Bank, Bank of India, ICICI Bank Ltd, Axis Bank Ltd and Federal Bank Ltd) of the Company, as per the final letter of approval dated 24.3.2014 from Corporate Debt Restructuring Cell, Mumbai.

NOTE 2: The Company has received a letter dated 6.4.2018 from Smt Rajshree Pathy, belonging to the Promoter and Promoter Group of the Company (holding 1,13,17,313 shares), intimating that she had become Non Resident Indian from the Financial Year 2017-18, as per Section 6 of the Income Tax Act, 1961 and Section 2(w) read with Section 2(v) of the Foreign Exchange Management Act, 1999. Out of 1,13,17,313 shares, 28,48,976 shares held by her in dematerialized form are yet to be classified under NRI Category by the Depositories. However, for the purpose of this shareholding pattern, we have classified the entire shareholding under NRI category.

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Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of shares held (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held VII = IV+V+VI	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held
									Class X	Class Y	Total								
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		4	4900	0	0	4900	0.02	4900	0	4900	0.02	0	0	0	0	0	0	100
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
f	Financial Institutions/ Banks		5	1600	0	0	1600	0.01	1600	0	1600	0.01	0	0	0	0	0	0	400
g	Insurance Companies		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
h	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
i	Any Other (specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(1)		9	6500	0	0	6500	0.03	6500	0	6500	0.03	0	0	0	0	0	0	500
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
3	Non-institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		17269	9664671	0	0	9664671	34.31	9664671	0	9664671	34.31	0	0	0	0	0	0	8721396
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		17236	6159776	0	0	6159776	21.87	6159776	0	6159776	21.87	0	0	0	0	0	0	5246501
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		33	3504895	0	0	3504895	12.44	3504895	0	3504895	12.44	0	0	0	0	0	0	3474895
	K MOHAN	AJAPM7376A	1	747226	0	0	747226	2.65	747226	0	747226	2.65	0	0	0	0	0	0	747226
b	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
c	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		664	5022888	0	0	5022888	17.83	5022888	0	5022888	17.83	0	0	0	0	0	0	3861488
	Director or Director's Relatives		6	510558	0	0	510558	1.81	510558	0	510558	1.81	0	0	0	0	0	0	508518
	Jaishree Varadaraj	ABGPV5575L	1	493961	0	0	493961	1.75	493961	0	493961	1.75	0	0	0	0	0	0	493961
	Bodies Corporate		176	1399895	0	0	1399895	4.97	1399895	0	1399895	4.97	0	0	0	0	0	0	1286698



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Non-Resident Indian (NRI)		118	2314090	0	0	2314090	8.22	2314090	0	2314090	8.22	0	8.22	0	0	1267927
RAJA MJ ABDEEN	AHWPR5426N	1	1010000	0	0	1010000	3.59	1010000	0	1010000	3.59	0	3.59	0	0	0
PETHINAIDU SURULINARAYANASAMI	ARLPS8287K	1	1131107	0	0	1131107	4.02	1131107	0	1131107	4.02	0	4.02	0	0	1131107
Clearing member		43	42675	0	0	42675	0.15	42675	0	42675	0.15	0	0.15	0	0	42675
INVESTOR EDUCATION AND PROTECTION FUND	ZZZZZ9999Z	1	488608	0	0	488608	1.73	488608	0	488608	1.73	0	1.73	0	0	488608
IEPF		1	488608	0	0	488608	1.73	488608	0	488608	1.73	0	1.73	0	0	488608
HUF		318	263880	0	0	263880	0.94	263880	0	263880	0.94	0	0.94	0	0	263880
Unclaimed or Suspense or Escrow Account		1	3182	0	0	3182	0.01	3182	0	3182	0.01	0	0.01	0	0	3182
Sub-Total (B)(3)		17933	14687559	0	0	14687559	52.14	14687559	0	14687559	52.14	0	52.14	0	0	12582884
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		17942	14694059	0	0	14694059	52.17	14694059	0	14694059	52.17	0	52.17	0	0	12583384

NOTE 3: 'Others-Non-Resident Indian category' includes 21,71,107 shares of NRI Directors & their relatives. Accordingly, the said 21,71,107 shares have been excluded from the category 'Others-Directors and Relatives'.



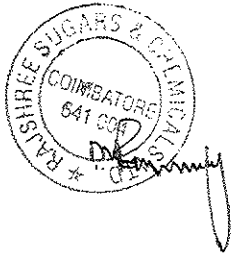
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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (i)	PAN (ii)	No. of older shares (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depositor Receipts (vi)	Total no. shares held (VII = IV+V+VI)	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV) (Not Applicable)	
								No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
								Class X	Class Y	Total								
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

	Name	PAN	Passport No. in case of a foreign national	Nationality	Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
					Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	RAJSHREE PATHY	AFOPP2845K		INDIA	45.33	0	0			
A	RAJSHREE PATHY	AFOPP2845K		INDIA	40.18	0	0	No	No	08-Feb-2019
B	RSCL PROPERTIES PVT LTD	AABCR0300C		NA	5.15	0	0	No	No	08-Feb-2019



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Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.	
No. of shareholders	No of share held

Table III- Unclaim Details


Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.			
No. of shareholders	No of share held	Voting rights which are frozen	Disclosure notes on shares
23	3182	3182	The voting rights on these shares shall remain frozen till the rightful owner of such shares claims

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding			
Name of shareholder	Name of PAC	No. of share	Holding %
0.0	0.0	0	0



For RAJSHREE SUGARS & CHEMICALS LIMITED,


 M. PONTAJ
 COMPANY SECRETARY
 ACS Mem. No: 29858

