

RAJSHREE SUGARS & CHEMICALS LIMITED - SHAREHOLDING PATTERN AS ON 31.3.2021

1. Name of Listed Entity:	Rajshree Sugars & Chemicals Limited
2. Scrip Code/Name of Scrip/Class of Security	RAJSREESUG (NSE); 500354 (BSE)
3. Class of Security	Equity
4. ISIN	INE562B01019
5. Share Holding Pattern Filed under	31(1)(b)
6. Share Holding Pattern as on	31st March 2021
7. Declaration:	

Sl. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly paid up shares?	No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No	
4	Whether the Listed Entity has any shares in locked-in?	No	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Promoter and Promoter Group
6	Whether the Listed Entity has issued any differential voting rights?	No	
7	Whether the Listed Entity has issued any Warrants?	No	
8	Whether the Listed Entity has any significant beneficial owner?	Yes	

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Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights Each share has 1 vote		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	(VIII)	Class X	Class Y	Total		(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)
(A)	Promoter and Promoter Group	4	13473621	0	0	13473621	47.833	13473621	0	13473621	47.833	0	47.833	0	0.000	13473621	100.000	13473621
(B)	Public	17649	14694059	0	0	14694059	52.167	14694059	0	14694059	52.167	0	52.167	0	0.000	0	0.000	12604418
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	17653	28167680	0	0	28167680	100.000	28167680	0	28167680	100.000	0	100.000	0	0.000	13473621	47.834	26078039

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of Shareholder	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights share has	Each share has	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
																			Class X
(I)	(II)	(III)	(IV)	(V)	(VI)	VII = IV+V+VI	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)		
(A)	Shareholding of Promoter and Promoter Group²																		
1	Indian																		
(a)	Individuals/ Hindu Undivided Family		2	706977	0	0	706977	2.510	706977	0	706977	2.510	0	2.510	0	0	706977	100.000	706977
	AISHWARYA PATHY		1	218674			218674	0.776	218674		218674	0.776	0	0.776	0		218674	100.000	218674
	ADITYA KRISHNA PATHY		1	488303			488303	1.734	488303		488303	1.734	0	1.734	0		488303	100.000	488303
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any Others(Specify)																		
	Bodies Corporate		1	1449331	0		1449331	5.145	1449331		1449331	5.145	0	5.145	0	0.000	1449331	100.000	1449331
	RSCL PROPERTIES PRIVATE LIMITED		1	1449331			1449331	5.145	1449331		1449331	5.145	0	5.145	0		1449331	100.000	1449331
	Sub Total(A)(1)		3	2156308	0	0	2156308	7.655	2156308		2156308	7.655	0	7.655	0	0.000	2156308	100.000	2156308
2	Foreign																		
a	Individuals (Non-Residents Individuals/ Foreign Individuals)		1	11317313	0	0	11317313	40.178	11317313		11317313	40.178	0	40.178	0	0.000	11317313	100.000	11317313
	RAJSHREE PATHY		1	11317313	0		11317313	40.178	11317313		11317313	40.178	0	40.178	0	0.000	11317313	100.000	11317313
b	Government																		
c	Institutions																		
d	Foreign Portfolio Investor																		
e	Any Others(Specify)																		
	Sub Total(A)(2)		1	11317313	0	0	11317313	40.178	11317313		11317313	40.178	0	40.178	0	0.000	11317313	100.000	11317313
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		4	13473621	0	0	13473621	47.833	13473621		13473621	47.833	0	47.833	0	0.000	13473621	100.000	13473621

NOTE 1: Pledge has been created in respect of 1,34,73,621 equity shares with voting rights of Promoter & Group in favour of SBICAP Trustee Company Ltd, who is holding the pledge on behalf of lenders (State Bank of India, UCO Bank, Bank of India, ICICI Bank Ltd, Axis Bank Ltd and Federal Bank Ltd) of the Company, as per the final letter of approval dated 24.3.2014 from Corporate Debt Restructuring Cell, Mumbai.

NOTE 2: The Company has received a letter dated 6.4.2018 from Smt Rajshree Pathy, belonging to the Promoter and Promoter Group of the Company (holding 1,13,17,313 shares), intimating that she had become Non Resident Indian from the Financial Year 2017-18, as per Section 6 of the Income Tax Act, 1961 and Section 2(w) read with Section 2(v) of the Foreign Exchange Management Act, 1999. Out of 1,13,17,313 shares, 28,48,976 shares held by her in dematerialized form are yet to be classified under NRI Category by the Depositories. However, for the purpose of this shareholding pattern, we have classified the entire shareholding under NRI category.

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Table III - Statement showing shareholding pattern of the Public Shareholders

Category & Name of Shareholders	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights share has 1 vote	Each	Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
																		Class X
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)	(XIII)	(XIV)			
(1) Institutions																		
(a) Mutual Funds/		4	4900	0		4900	0.017	4900		4900	0.017	0	0.017	0	0.000	0	0.000	100
(b) Venture Capital Funds																		
(c) Alternate Investment Funds																		
(d) Foreign Venture Capital Investors																		
(e) Foreign portfolio Investors																		
(f) Financial Institutions / Banks		4	1400	0		1400	0.005	1400		1400	0.005	0	0.006	0	0.000	0	0.000	200
(g) Insurance Companies																		
(h) Provident Funds/Pension Funds																		
(i) Any Other (specify)																		
Sub-Total (B)(1)		8	6300	0	0	6300	0.022	6300	0	6300	0.022	0	0.023	0	0.000	0	0.000	300
(2) Central Government/ State Government(s)/ President of India																		
Sub-Total (B)(2)		0	0	0		0	0.000	0		0	0.000	0	0.000	0	0.000	0	0.000	0
(3) Non-institutions																		
(a) Individuals -i. Individual shareholders holding nominal share capital up to Rs 2 lakh		17005	6060309	0		6060309	21.515	6060309		6060309	21.515	0	21.515	0	0.000	0	0.000	5165028
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakh.		39	3929513	0		3929513	13.950	3929513		3929513	13.950	0	13.950	0	0.000	0	0.000	3899513
Anvar Jay Varadaraj		1	287700	0		287700	1.021	287700		287700	1.021	0	1.021	0	0.000	0	0.000	287700
K.MOHAN		1	726662	0		726662	2.580	726662		726662	2.580	0	2.580	0	0.000	0	0.000	726662
Maya Jay Varadaraj		1	281700	0		281700	1.000	281700		281700	1.000	0	1.000	0	0.000	0	0.000	281700
(b) NBFCs registered with RBI																		
(c) Employee Trusts																		
Overseas Depositories (holding DRs) (balancing figure)																		
(e) Any other (Specify)																		
Directors & Relatives		3	345461	0		345461	1.226	345461		345461	1.226	0	1.226	0	0.000	0	0.000	344461
JAISHREE VARADARAJ		1	343961	0		343961	1.221	343961		343961	1.221	0	1.221	0	0.000	0	0.000	343961
Bodies Corporate		149	1288717	0		1288717	4.575	1288717		1288717	4.575	0	4.575	0	0.000	0	0.000	1175520
Non Resident Indians		112	2301906	0		2301906	8.172	2301906		2301906	8.172	0	8.172	0	0.000	0	0.000	1257743
RAJA M.J. ABDEEN		1	1010000	0		1010000	3.586	1010000		1010000	3.586	0	3.586	0	0.000	0	0.000	0
PETHAINIDU SURULINARAYANASAMI		1	1131107	0		1131107	4.016	1131107		1131107	4.016	0	4.016	0	0.000	0	0.000	1131107
Clearing Members		34	38094	0		38094	0.135	38094		38094	0.135	0	0.135	0	0.000	0	0.000	38094
Hindu Undivided Families		297	232269	0		232269	0.825	232269		232269	0.825	0	0.825	0	0.000	0	0.000	232269
IEPF Authority		1	488308	0		488308	1.734	488308		488308	1.734	0	1.734	0	0.000	0	0.000	488308
INVESTOR EDUCATION AND PROTECTION FUND		1	488308	0		488308	1.734	488308		488308	1.734	0	1.734	0	0.000	0	0.000	488308
Unclaimed Share Suspense Account		1	3182	0		3182	0.011	3182		3182	0.011	0	0.011	0	0.000	0	0.000	3182
Sub-Total(B)(3)		17641	14687759	0	0	14687759	52.144	14687759	0	14687759	52.144	0	52.144	0	0.000	0.000	0.000	12604118
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		17649	14694059	0	0	14694059	52.166	14694059	0	14694059	52.166	0.000	52.167	0	0.000	0.000	0.000	12604418

NOTE 3: 'Others-Non-Resident Indian category' includes 21,71,107 shares of NRI Directors & their relatives. Accordingly, the said 21,71,107 shares have been excluded from the category 'Others-Directors and Relatives'.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public Shareholder

Category & Name of Shareholders	PAN	Number of Shareholders	No. of fully paid up equity shares held	Partly paid up equity shares held	Nos. of shares underlying Depository Receipts	Total number of shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Shares Pledged of otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	(VIII)	Class X	Class Y	Total	(X)	(XI) = (VII)+(X) as a % of A+B+C2	(XII)		(XIII)		(XIV)	
(1) Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Non-Promoter- Non Public Shareholding (C)=(C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Table V - Statement showing shareholding pattern of the Significant Beneficiary Owner (SBO)

Sl. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	RAJSHREE PATHY			INDIA		RAJSHREE PATHY			India		40.18	0	0	No	No	08-Feb-19
2	RAJSHREE PATHY			INDIA		RSCL PROPERTIES PRIVATE LIMITED			Any Other	Company	5.15	0	0	No	No	08-Feb-19
Total											45.33					

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Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No of share held
0	0

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No of share held	Voting rights which are frozen	Disclosure notes on shares
23	3182	3182	The voting rights on these shares shall remain frozen till the rightful owner of such shares claims

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding

Name of shareholder	Name of PAC	No. of share	Holding %
0	0	0	0